

Financial Services: Regulating Investment Business (Longman Commercial)



Here is expert advice on the legal implications of the Financial Services Act 1986 and subsequent amendments for business operations. The impact of both the legislation and case law on each area of operation is explained clearly and thoroughly, identifying problem areas and offering solutions. In 1993 the Large Report recommended a fundamental clarification of the regulatory systems two-tier structure and a changed role for the Securities and Investment Board. Following widespread criticism, the Act has frequently been amended and a large body of case law has built up. This edition takes on board all important developments, includes new SIs regulating advertising, guidance on collective investment schemes and expanded commentary on the FSAs impact on banking. This latest edition includes: a rewritten section on enforcement to take account of a substantial amount of case decisions; a revised chapter on regulation of investment vehicles, including the many changes in pensions and unit trust rules; new treatment of the impact of the EC Investment Services Directive, the Capital Adequacy Directive and the third Life Directive on the UK regulatory framework; clear guidance to the fundamental changes within SROs themselves - such as the establishment of the PIA - and how they work in practice.

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Ball M, Lizieri C and MacGregor B (1998) The Economics of Commercial Property markets, Journal of Property Investment and Finance, 22, 3, 142235. . intensive business services in Great Britain during the 1990s: variations at the regional and. **Financial Services: Regulating Investment Business (Longman** Most of these laws are still applicable and regulate investments implemented to regional investment aid, in order to provide incentives to support business a Special Reference to Financial Services Investments (Athens, Sakkoulas, 2004) 237. 65 See I Rokas, Greece: Practical Commercial Law (London, Longman, **Usury - Wikipedia** Dec 10, 2013 the Dodd-Frank Act to restructure the regulatory system as well as restore market and consumer . competitive globally.17 Commercial banks, investment banks, securities firms, and insurance companies . Finance at the Booth School of Business, University of Chicago and is .. 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